



DATA SECURITY AND PRIVACY INFORMATION

1. Personal and Corporate Data – Category

A. Do you collect, input, store, process, or maintain any of the following Protected Personal Information or Protected Healthcare Information Records in the course of operating your business?

- | | | |
|---|----------------------------------|-----------------------------|
| Medical or Healthcare Data | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Credit Card, Bank Account, or other Financial Data | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Social Security Numbers or Tax Identification Numbers | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Driver's License Numbers | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Estimated Total Number of Unique Protected Personal Information and Protected Healthcare Information Records: | UNKNOWN <input type="checkbox"/> | |

B. Do you collect, input, store, process, or maintain any Protected Personal Information or Protected Healthcare Information Records for third party corporate entities?

YES NO

C. Do you store, process or maintain any third party corporate confidential information?

YES NO

2. Personal and Corporate Data – Location and Transit

A. Is any data noted in Question 1 above processed, stored, inputted, collected or otherwise handled on or in any of the following assets under your control or authorization?

- | | | |
|--|------------------------------|-----------------------------|
| Websites | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Computer system
<i>(comprising a network of computing equipment and servers owned or leased by you)</i> | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Laptops, personal portable or mobile devices
<i>(including mobile storage, e.g., USB flash drives)</i> | YES <input type="checkbox"/> | NO <input type="checkbox"/> |
| Physical files and premises <i>(non-electronic)</i> | YES <input type="checkbox"/> | NO <input type="checkbox"/> |



B. Is any data noted in Question 1 collected, inputted, stored, processed, or maintained off-site via a third party computer system or network on your behalf?

YES NO

If "Yes," please answer the questions below. (You may be asked to provide specimen or actual contracts as part of your application.) Otherwise, you may proceed to question 3.

i. Do you enter into written agreement* for such third party services that address care, use and control of sensitive or confidential information? YES NO

ii. Do the written agreements provide you with indemnification in the event of a breach of such third party service provider's systems, networks or other assets? YES NO

iii. Do you require such third parties to provide evidence of network security and privacy liability coverage? YES NO

3. Personal and Corporate Data – Data Security, Prevention and Response

A. With respect to Protected Personal Information or Protected Healthcare Information Records and third-party confidential corporate information under your control or authorization, which of the following methods of data security, breach prevention or detection, and data security risk management do you employ in your operations?

Automated Virus scans of computer system YES NO

Encryption of laptops or mobile devices YES NO

Encryption of network data during file transfers (including back-up files stored off-site) YES NO

Password protection for access to network (including on all mobile or portable devices) YES NO

Real-time network monitoring for possible intrusions or abnormalities YES NO

Automated Patch management program YES NO

System Security Audit (performed annually or more frequently) YES NO

Written information security policy with annual employee training and certification YES NO

Privacy disclosure statement on website YES NO

Computer system and data back-ups on a regular basis? YES NO

Please describe any other privacy controls:

B. Payments and Transactions Security

Do you transact business utilizing debit, credit, pre-paid, ATM, POS or similar transaction methods? YES NO

If "Yes", have you been certified compliant within the past twelve (12) months with the Payment Card Industry Standards for data security that are applicable to your business? YES NO



C. Data Breach Response Protocols

In the past three years, have you notified any individual or entity that their data or information was subject to an actual or suspected breach of privacy while in your care, custody or control? YES NO

If "Yes", please describe:

Do you have written procedures for notifying customers, clients and employees of a breach in security that may affect their information? YES NO

If "Yes", please provide a short description of your procedures:

SUPPLEMENTAL COVERAGES

Answer the following questions 1.-2. only if you are requesting Business Interruption and Data Recovery Coverage:

- 1. Does your organization have a formal incident response plan? YES NO
2. A. Does your organization have a formal Business Continuity/Disaster Recovery Plan? YES NO
B. If "Yes" to question 2.a. above, was your Business Continuity/Disaster Recovery Plan tested during the past year? YES NO
C. If "Yes" to question 2.a. above, what is the greatest expected downtime (in hours) for critical business systems?

Answer the following questions 3.-8. only if you are requesting Website Media Liability Coverage:

- 3. Domain Names:
A. What steps were taken to insure that your domain names do not infringe on the intellectual property rights of others?
B. Are you aware of any potential or actual disputes over domain names owned by you or under your control? YES NO

If "Yes", please explain:

- 4. Please indicate the percentage of your website content that is:
Original content created by you
Original content created by others (third parties) for you
Previously published, released or archived content to be republished and/or retrievable by you



5. Have you obtained all the necessary rights, licenses, releases and consents applicable to all content designated in question 4. above?

YES NO

If "No", please explain:

6. Do you edit or review your website content created or provided by others? YES NO

7. Do those parties providing the content indemnify you, in writing, for any claims arising out of the use of the content designated in Question 6. above?

YES NO

8. Describe your policies and procedures for removing controversial or potentially defamatory or infringing material from your website(s):

CLAIMS AND DATA INCIDENT HISTORY

1. Have you experienced a theft or unintended, release, disclosure or loss of personal or corporate data in the past three years?

YES NO

If "Yes", please explain:

2. Have any claims, suits or proceedings been made during the past five years against you or any of your predecessors in business, subsidiaries or affiliates, or against any of your past or present partners, owners, officers, or employees arising out of or related to activities described in this application or for which coverage would be available under a policy applicable to network security liability or related liabilities?

YES NO

If "Yes", please explain:

3. Is any leader of your legal, finance, or risk management organizations or their functional equivalent, or any partner, director or executive officer aware of any actual or alleged fact, circumstance, situation, error or omission, which may reasonably be expected to result in a claim being made against any of you?

YES NO

If "Yes", please explain:

4. Is any leader of your legal, finance, or risk management organizations or their functional equivalent, or any partner, director or executive officer aware of any actual or alleged fact, circumstance, situation, error or omission, which may reasonably be expected to result in a claim being made against any of you?

YES NO

If "Yes", please explain:



5. Have any of you or any of your predecessors in business, subsidiaries or affiliates or any of their past or present partners, owners, directors, officers, or employees been investigated and/or cited by any regulatory agency for privacy related violations arising out of your business activities?

YES

NO

If "Yes", please explain:

REPRESENTATIONS AND SIGNATURE

By signing this document, the undersigned officer, director, or partner of the entity identified in the "APPLICANT INFORMATION" section of this application represents, after inquiry, that:

- 1. The statements and answers given in this application and all materials submitted herewith are accurate and complete;
2. That no facts or information material to the risk proposed for insurance have been misstated or concealed;
3. The statements and answers furnished to the Insurer are representations made to the Insurer on behalf of all persons and entities proposed for coverage;
4. These representations are a material inducement to the Insurer to provide a proposal for insurance;
5. Any policy the Insurer issues will be issued in reliance upon those representations;
6. You will report to the Insurer immediately in writing any material change in your activities, products and services;
7. You will report to the Insurer immediately in writing any material changes to the answers provided in this application which occur or are discovered between the date of this application and the effective date of the policy for which coverage is sought by submission this application; and
8. The Insurer reserves the right, upon receipt of any such notice, to modify or withdraw any proposal for insurance the Insurer has offered.

WARNING

Any person who, with intent to defraud or knowing that s(he) is facilitating a fraud against the insurer, submits an application or files a claim containing a false or deceptive statement may be guilty of insurance fraud.

Name (type or print)

Name (signature of Authorized Representative)

Title

Date