Euclid Vanguard
Fiduciary Liability
Defined Contribution
Supplemental Application

1. Has there been any failure to timely transmit to the plan any participant contributions? Yes ____ No ____
2. Has the Plan been the subject of an IRS or DOL audit in the last 3 years? Yes ____ No ____
3. When was your last Recordkeeper RFP:

   1-5 years: _____ More than 5 years: _____ Not sure: _____

   Please list the Recordkeeper candidates that submitted proposals:

   Incumbent: ____

   Other: ____

   Other: ____

   Other: ____

   Other: ____

   Other: ____

4. Who conducted the RFP:

   Outside Consultant: ____

   Internal Staff/Procurement: ____

5. Are you paying recordkeeping fees:

   - Per capita (fixed flat fee): ____
   - Pro rata (basis points): ____
   - What is your contracted fee: $ _________ (per participant) or _________% basis points)

6. What is the frequency of your Committee's plan review meetings:

   Quarterly: _____ Semi-Annually: _____ Annually: _____

7. When was your last Target Date Suite RFP:

   1-5 Years: _____ More than 5 years: _____ Not sure: _____

   If Target Date Funds are not offered, which plan options serve as the QDIA:

   ____

8. When was your last Core Fund Menu RFP (including Stable Value) (Core is all non-Target Date options):

   1-5 Years: _____ More than 5 years: _____ Not sure: _____

9. If the service is offered, when was your last Managed Accounts Provider RFP:

1-5 Years: _____ More than 5 years: _____ Not sure: _____

Please list the Managed Accounts candidates that submitted proposals:

Incumbent (if applicable): ____

Other: ____

Other: ____

10. Are you using an outside investment Consulting firm? Yes ____ No ____

11. If "Yes" to question 10, when was your last Consultant RFP:

1-5 Years: _____ More than 5 years: _____ Not sure: _____

12. What is your annual Consultant fee:

Annual Fee $________________

Is the fee fixed dollar _____ (Yes/No) or;

Is the fee basis points on plan assets _______ (basis points)

13. Who is paying Consultant's fee: Plan _____ Company _____

14. Please indicate whether you have received any inquiries from the law firm of Schlicter, Bogard & Denton, The Levin Firm, or any other law firm, and if so, when.

2020 _____ 2019 _____ More than 2 years ago _____ Not applicable: N/A _____

REQUIRED ATTACHMENTS

a) Please provide most recent copy of the 408(b)(2) fee disclosure(s) made to the plan sponsor. If your disclosure doesn't detail number of plan participants, plan assets by fund, fund expenses, any fund revenue sharing, credits back to the plan, and your recordkeeper's contracted recordkeeping fee (fixed dollar or basis points) please provide that data in a supplemental document. Please include additional disclosures if you have multiple plans or an unbundled custodial provider.
b) Please provide the 404 fee disclosures made to participants
c) Last independent Plan Benchmarking Report (not benchmarking data provided by your recordkeeper)
d) Most recent Quarterly Plan Review (presented to the Committee) including investment and plan demographic attributes

Please submit this application and all required attachments to:

Euclid Specialty Managers, LLC
(571) 730-4810 (phone) | (571) 730-4813 (fax)
e) mail@euclidspecialty.com

SIGNATURE

Plan Sponsor Authorized Signature: ____

Print Name: ____

Title: ____

Date: ____

Email: ____

Phone: ____